

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Kazuhide OUCHI, et al.

SERIAL NO: 10/562,799

GAU: 2112

FILED: April 25, 2006

EXAMINER: Joseph D. Torres

FOR: OPTICAL RECEPTION DEVICE AND OPTICAL RECEPTION METHOD

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the attached European Search Report. Three references are cited therein. JP 2003-018023 was previously submitted on 02/29/06, WO 03/026239 is the equivalent of JP 2003-163637 which was cited and submitted in the present application on 02/29/06, and EP 1 318 635 is equivalent to US 7,024,599, which was cited by the examiner on a PTO Form 892 dated 08/17/07.
- Online credit card payment is being made in the amount required under 37 CFR § 1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Online credit card payment is being made in the amount required under 37 CFR § 1.17(p).

**CERTIFICATION**

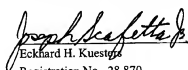
- The attached European Search Report was first issued December 19, 2007 which is not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Eckhard H. Kuester

Registration No. 28,870  
Joseph Scatetta, Jr.  
Registration No. 26,803  
Nikolaus P. Schibii, Ph.D.  
Registration No. 56,994

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)